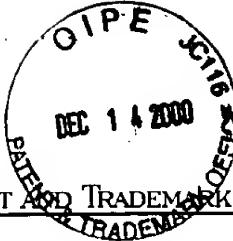




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/679,212	10/04/2000	Deepak Gupta	JP920000252US1

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

FORMALITIES LETTER



Date Mailed: 12/05/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Initial Patent Application of: Deepak Gupta

Serial No.: 09/679,212

Examiner:

Filed: October 4, 2000

Group Art: 2152

For: SMTP EXTENSION FOR EMAIL DELIVERY FAILURE

Assistant Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts of Application
3. Submission of Declaration
4. Executed Declaration and Power of Attorney
5. Assignment to IBM with Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents  
Washington, D.C. 20231*

on December 12, 2000.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920000252US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Deepak Gupta  
Serial No.: 09/679,212  
Filed: October 4, 2000

Docket No. JP920000252US1  
Group Art Unit: 2152  
Dated: December 12, 2000

For: SMTP EXTENSION FOR EMAIL DELIVERY FAILURE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

**SUBMISSION OF DECLARATION**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants referencing application Serial No. 09/679,212 as originally filed on October 4, 2000. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By Stephen C. Kaufman  
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